

Subpart 5.2 - Synopses of Proposed Contract Actions

Parent topic: [Part 5 - Publicizing Contract Actions](#)

5.201 General.

(a) As required by the Small Business Act ([15 U.S.C. 637\(e\)](#)) and the Office of Federal *Procurement* Policy Act ([41 U.S.C. 1708](#)), agencies *must* make notices of proposed *contract actions* available as specified in paragraph (b) of this section.

(b)

(1) For *acquisitions* of *supplies* and services, other than those covered by the exceptions in [5.202](#) and the special situations in [5.205](#), the *contracting officer* *must* transmit a notice to the GPE, for each proposed-

(i) *Contract action* meeting the threshold in [5.101\(a\)\(1\)](#);

(ii) Modification to an existing contract for additional *supplies* or services that meets the threshold in [5.101\(a\)\(1\)](#); or

(iii) *Contract action* in any amount when advantageous to the Government.

(2) When transmitting notices using *electronic commerce*, *contracting officers* *must* ensure the notice is forwarded to the GPE.

(c) The primary purposes of the notice are to improve small business access to *acquisition* information and enhance competition by identifying *contracting* and subcontracting opportunities.

(d) The GPE *may* be accessed via the Internet at <https://www.sam.gov>.

5.202 Exceptions.

The *contracting officer* need not submit the notice required by [5.201](#) when-

(a) The *contracting officer* determines that-

(1) The synopsis cannot be worded to preclude disclosure of an agency's needs and such disclosure would compromise the national security (*e.g.*, would result in disclosure of *classified information*). The fact that a proposed *solicitation* or *contract action* contains *classified information*, or that access to classified matter *may* be necessary to submit a proposal or perform the contract does not, in itself, justify use of this exception to synopsis;

(2) The proposed *contract action* is made under the conditions described in [6.302-2](#) (or, for purchases conducted using *simplified acquisition procedures*, if unusual and compelling urgency precludes competition to the maximum extent practicable) and the Government would be seriously

injured if the agency complies with the time periods specified in [5.203](#);

(3) The proposed *contract action* is one for which either the written direction of a foreign government reimbursing the agency for the cost of the *acquisition* of the *supplies* or services for such government, or the terms of an international agreement or treaty between the *United States* and a foreign government, or international organizations, has the effect of requiring that the *acquisition shall* be from specified sources;

(4) The proposed *contract action* is expressly authorized or required by a statute to be made through another Government agency, including *acquisitions* from the Small Business Administration (SBA) using the authority of section 8(a) of the Small Business Act (but see [5.205\(f\)](#)), or from a specific source such as a workshop for the blind under the rules of the Committee for Purchase from People Who Are Blind or Severely Disabled;

(5) The proposed *contract action* is for utility services other than telecommunications services and only one source is available;

(6) The proposed *contract action* is an order placed under subpart [16.5](#). When the order contains brand-name specifications, see especially [16.505\(a\)\(4\)](#);

(7) The proposed *contract action* results from acceptance of a proposal under the Small Business Innovation Development Act of 1982 (Pub.L.97-219);

(8) The proposed *contract action* results from the acceptance of an unsolicited research proposal that demonstrates a *unique and innovative concept* (see [2.101](#)) and publication of any notice complying with [5.207](#) would improperly disclose the originality of thought or innovativeness of the proposed research, or would disclose proprietary information associated with the proposal. This exception does not apply if the proposed *contract action* results from an unsolicited research proposal and acceptance is based solely upon the unique capability of the source to perform the particular research services proposed (see [6.302-1\(a\)\(2\)\(i\)](#));

(9) The proposed *contract action* is made for perishable subsistence *supplies*, and advance notice is not appropriate or reasonable;

(10) The proposed *contract action* is made under conditions described in [6.302-3](#), or [6.302-5](#) with regard to brand name *commercial products* for authorized resale, or [6.302-7](#), and advance notice is not appropriate or reasonable;

(11) The proposed *contract action* is made under the terms of an existing contract that was previously synopsisized in sufficient detail to comply with the requirements of [5.207](#) with respect to the current proposed *contract action*;

(12) The proposed *contract action* is by a Defense agency and the proposed *contract action* will be made and performed outside the *United States* and its *outlying areas*, and only local sources will be solicited. This exception does not apply to proposed *contract actions* covered by the World Trade Organization Government *Procurement* Agreement or a Free Trade Agreement (see [subpart 25.4](#));

(13) The proposed *contract action*-

(i) Is for an amount not expected to exceed the *simplified acquisition threshold*;

(ii) Will be made through a means that provides access to the notice of proposed *contract action* through the GPE; and

(iii) Permits the public to respond to the *solicitation* electronically; or

(14) The proposed *contract action* is made under conditions described in 6.302-3 with respect to the services of an expert to support the Federal Government in any current or anticipated litigation or dispute.

(b) The *head of the agency* determines *in writing*, after consultation with the Administrator for Federal *Procurement* Policy and the Administrator of the Small Business Administration, that advance notice is not appropriate or reasonable.

5.203 Publicizing and response time.

Whenever agencies are required to publicize notice of proposed *contract actions* under 5.201, they *must* proceed as follows:

(a) An agency *must* transmit a notice of proposed *contract action* to the GPE (see 5.201). All publicizing and response times are calculated based on the date of publication. The publication date is the date the notice appears on the GPE. The notice *must* be published at least 15 days before issuance of a *solicitation*, or a proposed *contract action* the Government intends to solicit and negotiate with only one source under the authority of 6.302, except that, for *acquisitions* of *commercial products* or *commercial services*, the *contracting officer may*-

(1) Establish a shorter period for issuance of the *solicitation*; or

(2) Use the combined synopsis and *solicitation* procedure (see 12.603).

(b) The *contracting officer must* establish a *solicitation* response time that will afford potential *offerors* a reasonable opportunity to respond to each proposed *contract action*, (including actions where the notice of proposed *contract action* and *solicitation* information is accessible through the GPE), in an amount estimated to be greater than \$25,000, but not greater than the *simplified acquisition threshold*; or each *contract action* for the *acquisition* of *commercial products* or *commercial services* in an amount estimated to be greater than \$25,000. The *contracting officer should* consider the circumstances of the individual *acquisition*, such as the complexity, commerciality, availability, and urgency, when establishing the *solicitation* response time.

(c) Except for the *acquisition* of *commercial products* or *commercial services* (see 5.203(b)), agencies *shall* allow at least a 30-day response time for receipt of bids or proposals from the date of issuance of a *solicitation*, if the proposed *contract action* is expected to exceed the *simplified acquisition threshold*.

(d) Agencies *shall* allow at least a 30 day response time from the date of publication of a proper notice of intent to contract for *architect-engineer services* or before issuance of an order under a basic ordering agreement or similar arrangement if the proposed *contract action* is expected to exceed the *simplified acquisition threshold*.

(e) Agencies *must* allow at least a 45-day response time for receipt of bids or proposals from the date of publication of the notice required in 5.201 for proposed *contract actions* categorized as research and development if the proposed *contract action* is expected to exceed the *simplified acquisition threshold*.

(f) Nothing in this subpart prohibits officers or employees of agencies from responding to requests

for information.

(g) *Contracting officers may*, unless they have evidence to the contrary, presume the notice was published oneday after transmission to the GPE. This presumption does not negate the mandatory waiting or response times specified in paragraphs (a) through (d) of this section. Upon learning that a particular notice has not in fact been published within the presumed timeframes, *contracting officers should* consider whether the date for receipt of *offers* can be extended or whether circumstances have become sufficiently compelling to justify proceeding with the proposed *contract action* under the authority of [5.202\(a\)\(2\)](#).

(h) In addition to other requirements set forth in this section, for *acquisitions* covered by the World Trade Organization Government *Procurement* Agreement or a Free Trade Agreement (see [subpart 25.4](#)), the period of time between publication of the synopsis notice and receipt of *offers must* be no less than 40 days. However, if the *acquisition* falls within a general category identified in an annual forecast, the availability of which is published, the *contracting officer may* reduce this time period to as few as 10 days.

5.204 Presolicitation notices.

Contracting officers must provide access to presolicitation notices through the GPE (see [15.201](#) and [36.213-2](#)). The *contracting officer must* synopsise a proposed *contract action* before issuing any resulting *solicitation* (see [5.201](#) and [5.203](#)).

5.205 Special situations.

(a) *Research and development (R&D) advance notices.* *Contracting officers may* transmit to the GPE advance notices of their interest in potential R&D programs whenever *market research* does not produce a sufficient number of concerns to obtain adequate competition. Advance notices *must* not be used where security considerations prohibit such publication. Advance notices will enable potential sources to learn of R&D programs and provide these sources with an opportunity to submit information which will permit evaluation of their capabilities. *Contracting officers must* consider potential sources which respond to advance notices for a subsequent *solicitation*. Advanced notices *must* be entitled "Research and Development Sources Sought" and include the name and telephone number of the *contracting officer* or other *contracting activity* official from whom technical details of the project can be obtained. This will enable sources to submit information for evaluation of their R&D capabilities. *Contracting officers must* synopsise (see [5.201](#)) all subsequent *solicitations* for R&D contracts, including those resulting from a previously synopsized advance notice, unless one of the exceptions in [5.202](#) applies.

(b) *Federally Funded Research and Development Centers.* Before establishing a Federally Funded Research and Development Center (FFRDC) (see [part 35](#)) or before changing its basic purpose and mission, the sponsor *must* transmit at least three notices over a 90-day period to the GPE and the *Federal Register*, indicating the agency's intention to sponsor an FFRDC or change the basic purpose and mission of an FFRDC. The notice *must* indicate the scope and nature of the effort to be performed and request comments. Notice is not required where the action is required by law.

(c) *Special notices.* *Contracting officers may* transmit to the GPE special notices of *procurement* matters such as business fairs, long-range *procurement* estimates, prebid or preproposal

conferences, meetings, and the availability of draft *solicitations* or draft specifications for review.

(d) *Architect-engineering services. Contracting officers must* publish notices of intent to contract for architect-engineering services as follows:

(1) Except when exempted by [5.202](#), *contracting officers must* transmit to the GPE a synopsis of each proposed *contract action* for which the total fee (including phases and *options*) is expected to exceed \$25,000.

(2) When the total fee is expected to exceed \$15,000 but not exceed \$25,000, the *contracting officer must* comply with [5.101\(a\)\(2\)](#). When the proposed *contract action* is not required to be synopsized under paragraph (d)(1) of this section, the *contracting officer must* display a notice of the *solicitation* or a copy of the *solicitation* in a public place at the *contracting office*. Other optional publicizing methods are authorized in accordance with [5.101\(b\)](#).

(e) Public-private competitions under OMB Circular A-76.

(1) The *contracting officer shall* make a formal public announcement for each streamlined or standard competition. The public announcement *shall* include, at a minimum, the agency, agency *component*, location, type of competition (streamlined or standard), activity being competed, incumbent service providers, number of Government personnel performing the activity, name of the Competitive Sourcing Official, name of the *contracting officer*, name of the Agency Tender Official, and projected end date of the competition.

(2) The *contracting officer shall* announce the end of the streamlined or standard competition by making a formal public announcement of the performance decision. (See OMB Circular A-76.)

(f) *Section 8(a) competitive acquisition.* When a requirement is being considered for competitive *acquisition* limited to eligible 8(a) participants under [subpart 19.8](#), the *contracting officer must* transmit a synopsis of the proposed *contract action* to the GPE. The synopsis *may* be transmitted to the GPE concurrent with submission of the agency offering (see [19.804-2](#)) to the Small Business Administration (SBA). The synopsis *should* also include information-

(1) Advising that the *acquisition* is being offered for competition limited to eligible 8(a) participants;

(2) Specifying the North American Industry Classification System (NAICS) code;

(3) Advising that eligibility to participate *may* be restricted to 8(a) participants in either the developmental stage or the developmental and transitional stages; and

(4) Encouraging interested 8(a) participants to request a copy of the *solicitation* as expeditiously as possible since the *solicitation* will be issued without further notice upon SBA acceptance of the requirement for the section 8(a) program.

(g) *Notifications to the public regarding consolidation, bundling, or substantial bundling.*

(1) For the requirement to publish a notification of consolidation or substantial *bundling* of contract requirements, see [7.107-5\(c\)](#) and (d).

(2) The agency is encouraged to provide notification of the rationale for any bundled requirement to the GPE before issuing the *solicitation* of any bundled requirement (see [7.107-5\(b\)](#)).

(h) *Notice regarding timely definitization of equitable adjustments for change orders under construction contracts.* When the *contracting officer* anticipates award of a contract to a small business pursuant to a *solicitation for construction*, the *contracting officer* must transmit in the *solicitation* notice on the GPE information regarding definitization of equitable adjustments for *change orders* under *construction* contracts (see [36.211](#)).

5.206 Notices of subcontracting opportunities.

(a) The following entities *may* transmit a notice to the GPE to seek competition for subcontracts, to increase participation by *HUBZone* small business, small, small disadvantaged, women-owned small business, veteran-owned small business and service-disabled veteran-owned small business concerns, and to meet established subcontracting plan goals:

(1) A contractor awarded a contract exceeding the simplified *acquisition* threshold that is likely to result in the award of any subcontracts.

(2) A subcontractor or supplier, at any tier, under a contract exceeding the simplified *acquisition* threshold, that has a subcontracting opportunity exceeding \$15,000.

(b) The notices *must* describe-

(1) The business opportunity;

(2) Any prequalification requirements; and

(3) Where to obtain *technical data* needed to respond to the requirement.

5.207 Preparation and transmittal of synopses.

(a) *Content.* Each synopsis transmitted to the GPE *must* address the following data elements, as applicable:

(1) Action Code.

(2) Date.

(3) Year.

(4) *Contracting Office* ZIP Code.

(5) Product or Service Code.

(6) *Contracting Office* Address.

(7) Subject.

(8) Proposed *Solicitation* Number.

(9) Closing Response Date.

(10) Contact Point or *Contracting Officer*.

(11) Contract Award and *Solicitation* Number.

(12) Contract Award Dollar Amount.

(13) *Line Item Number*.

(14) Contract Award Date.

(15) Contractor.

(16) Description.

(17) Place of Contract Performance.

(18) Set-aside Status.

(b) *Transmittal*. Transmissions to the GPE *must* be in accordance with the interface description available via the Internet at <https://www.sam.gov>.

(c) *General format for "Description."* Prepare a clear and concise description of the *supplies* or services that is not unnecessarily restrictive of competition and will allow a prospective *offeror* to make an informed business judgment as to whether a copy of the *solicitation should* be requested including the following, as appropriate:

(1) National Stock Number (NSN) if assigned.

(2) Specification and whether an *offeror*, its product, or service *must* meet a *qualification requirement* in order to be eligible for award, and identification of the office from which additional information about the *qualification requirement may* be obtained (see [subpart 9.2](#)).

(3) Manufacturer, including part number, drawing number, etc.

(4) Size, dimensions, or other form, fit or functional description.

(5) Predominant material of manufacture.

(6) Quantity, including any *options* for additional quantities.

(7) Unit of issue.

(8) Destination information.

(9) Delivery schedule.

(10) Duration of the contract period.

(11) *Sustainable acquisition* requirements, such as a description of high-performance sustainable building practices required, if for design, *construction*, renovation, repair, or deconstruction (see part [23](#) and [36.104](#)).

(12) For a proposed *contract action* in an amount estimated to be greater than \$25,000 but not greater than the *simplified acquisition threshold*, enter-

(i) A description of the procedures to be used in awarding the contract (*e.g.*, request for oral or written quotation or *solicitation*); and

(ii) The anticipated award date.

(13) For Architect-Engineer projects and other projects for which the product or service codes are insufficient, provide brief details with respect to: location, scope of services required, cost range and limitations, type of contract, estimated starting and completion dates, and any significant evaluation factors.

(14)

(i) If the *solicitation* will include the FAR clause at 52.225-3, Buy American-Free Trade Agreements-Israeli Trade Act, or an equivalent agency clause, insert the following notice in the synopsis: "One or more of the items under this *acquisition* is subject to Free Trade Agreements."

(ii) If the *solicitation* will include the FAR clause at 52.225-5, Trade Agreements, or an equivalent agency clause, insert the following notice in the synopsis: "One or more of the items under this *acquisition* is subject to the World Trade Organization Government *Procurement* Agreement and Free Trade Agreements."

(iii) If the *solicitation* will include the FAR clause at 52.225-11, Buy American-*Construction* Materials under Trade Agreements, 52.225-23, Required Use of American Iron, Steel, and Manufactured Goods-Buy American Statute-*Construction* Materials under Trade Agreements, or an equivalent agency clause, insert the following notice in the synopsis: "One or more of the items under this *acquisition* is subject to the World Trade Organization Government *Procurement* Agreement and Free Trade Agreements."

(15) In the case of noncompetitive *contract actions* (including those that do not exceed the *simplified acquisition threshold*), identify the intended source and insert a statement of the reason justifying the lack of competition.

(16)

(i) Except when using the sole source authority at 6.302-1, insert a statement that all responsible sources *may* submit a bid, proposal, or quotation which *shall* be considered by the agency.

(ii) When using the sole source authority at 6.302-1, insert a statement that all responsible sources *may* submit a capability statement, proposal, or quotation, which *shall* be considered by the agency.

(17) If *solicitations* synopsisized through the GPE will not be made available through the GPE, provide information on how to obtain the *solicitation*.

(18) If the *solicitation* will be made available to interested parties through *electronic data interchange*, provide any information necessary to obtain and respond to the *solicitation* electronically.

(19) If the *technical data* required to respond to the *solicitation* will not be furnished as part of such *solicitation*, identify the source in the Government, such as <https://www.sam.gov>, from which the *technical data* may be obtained.

(d) *Set-asides*. When the proposed *acquisition* provides for a total or partial small business program set-aside, or when the proposed *acquisition* provides for a local area set-aside (see [subpart 26.2](#)), the *contracting officer shall* identify the type of set-aside in the synopsis and in the *solicitation*.

(e) *Codes to be used in Synopses to identify services or supplies*. *Contracting officers must* use one of the classification codes identified at <https://www.sam.gov> to identify services or *supplies* in synopses.

(f) *Notice of solicitation cancellation*. *Contracting officers may* publish notices of *solicitation cancellations* (or *indefinite suspensions*) of proposed *contract actions* in the GPE.