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Attachment 5601-1.1 a Table Of Contents

REVIEW PACKAGE CONTENTS CHECKLIST*

Acquisition Plans

Acquisition planning documents based on complexity and dollar value
Market Research Report

D etermination & F indings (D&F)

D&F

Local Legal Review

Statement of Work (SOW)/Performance

Work Statement (PWS)/Statement of Objectives (SOO)

Funding Document(s), as applicable

Draft Letter Contract, as applicable

Justification and Approvals (J&A), Brand Name Justifications, Exception to Fair Opportunity (FOE):

Justification
Acquisition Plan
Market Research Report

Requests for Proposals, Invitations for Bids, Request for Quotes

Solicitation
Requirements Package (SOW/PWS/SOO, CDRLs, DD254, etc.)
Acquisition Planning Documents or Brief (as applicable)
Local Legal Review
Competitive -
Evaluation Plan or Source Selection Plan
Non-Competitive -
J&A

Business Clearance

Access to file
Local Legal Review
Competitive - obtain approval to award without discussions or begin discussions
Request for Clearance
Initial SSEB report
Draft Competitive Range Determination
Non-Competitive - obtain approval prior to beginning negotiations
Pre-negotiation Business Clearance
Memorandum

Terminations

Termination Authority Form
Modification
Local Legal Review
Show Cause Letter

Source Selection Plans

Source Selection Plan or Evaluation Plan
Applicable D&F's

Requests for Final Proposal Revisions (FPR)

(competitive only)
Evaluation Notices (ENs) and Contractor Responses
Draft Source Selection Evaluation Board (SSEB) Report
Draft FPR notice

Contract Clearance

Approval of the negotiated business arrangement

Access to file - to include access to the contract writing system
Draft contract
Local Legal Review
Competitive
Request for Clearance
Final SSEB Report
Source Selection Advisory Council (SSAC) Report (if applicable)
Draft Decision Briefing
Draft Source Selection Decision Document (SSDD)
Non-Competitive
Post-negotiation Business Clearance
Memorandum

Ratifications

D&F (including all documentation of unauthorized commitment)
Local Legal Review
Ratification Checklist with associated documents

* Reference SOFARS 5604.803 Contents of Contract Files, DCG Part 4.1 Contents of Contract Files, and DCG Part 4.2 Contract Files Assurance and "Chain of Custody". All documents listed above are to be included in the file prior to requesting review from SOF AT&L-KM/KX/KB as required and applicable to the specific contracting action.

Attachment 5601-1.1 b Table Of Contents

NOTES - Applicable to ALL Offices

- 1 Acquisition planning is required for **ALL** acquisitions. Simplified acquisition planning can be used for acquisitions below DFARS thresholds for a written acquisition plan. See SOFARS 5607.104. Reference DCG Part 7 for various planning documents. As delineated in DFARS 207.103(g), the Program Manager, or other official responsible for the program, has overall responsibility for the acquisition planning. The PM shall prepare the acquisition planning documents with coordination from the KO.
AP Coordination - IAW FAR 7.104(c), acquisition plans proposing other than full and open competition shall be coordinated with the cognizant advocate for competition. IAW FAR 7.104(d)(1), acquisition plans exceeding \$8M not entirely reserved or set-aside for small business require small business specialist coordination.
AP Approval - PEO/Director/O-6 or civilian equivalent are authorized to approve non-competitive acquisition plans.
- 2 Anytime the FAR/DFARS (see attached [DoD listing](#)) states a Determination is required, the determination is to be made in writing and placed in the contract file. The DoD listing provides examples. USSOCOM templates are to be used when available.
- 3 See SOFARS [5606.501](#) for AAC and PAAC designations. In the absence of the AAC, the HCA will assume the review/approval duties. In the absence of the PAAC, the AAC will assume the review/approval duties. When the PAAC is performing as the KO, then the AAC will be the reviewer.
- 4 See SOFARS 5601.170 for local and DPC peer review requirements and phases.
- 5 RFP Reviews apply to all contracting actions, including task orders and delivery orders, regardless of method (i.e. FAR Part 8, 12, 13, 15, 16, etc.).
Exception: Delivery or task orders issued from pre-priced IDIQ contracts with a defined delivery schedule or period of performance.
- 6 Business Clearance Reviews apply to all contracting actions, including task orders and delivery orders, regardless of method (i.e. FAR Part 8, 12, 13, 15, 16, etc.).
Exception : Delivery or task orders issued from pre-priced IDIQ contracts with a defined delivery schedule or period of performance.
- 7 Contract Clearance Reviews apply to all contracting actions, including task orders and delivery orders, regardless of method (i.e. FAR Part 8, 12, 13, 15, 16, etc.). Contract Clearance review may be waived by the Business Clearance Approval Authority. Details of the negotiation must be documented and included in the post-negotiation portion of the Business Clearance Memorandum in accordance with [FAR 15.406-3](#).
Exception : Delivery or task orders issued from pre-priced IDIQ contracts with a defined delivery schedule or period of performance.
- 8 Source Selection Plans - When the Reviewer is also the SSA, the SSP must be reviewed in accordance with SOFARS 5615.303(a)(2)(B).

- 9 Submit packages for review or approval through the cognizant program LNO. The LNO is authorized to approve actions estimated less than \$50M when SOF AT&L-KM, KX, or KB is the approval authority.
- 10 All SIGREDUX Contracting Actions will be reviewed by KO, HCD/FCO, and Legal. This includes contract actions conducted under FAR 6.302-1, Only One Responsible Source. Approval authority for SIGREDUX Ratifications and Terminations is done by the HCA. All other approvals will be by SOF AT&L-KB.
- 11 Simplified Acquisition Threshold (SAT) is defined in FAR 2.101.
- 12 The HCD/FCO will coordinate all actions over \$100M with the HCA/DDoP.

Attachment 5601-1.2 a Table Of Contents

APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW - June 2021

(unless further restricted by individual Contracting Officer warrant levels)

SOF AT&L- KF/ KH/KP/KW/KI/KR/KS

| ACTION | REVIEW | APPROVAL |
|--------|--------|----------|
|--------|--------|----------|

1. Acquisition Plan (See paragraphs & paragraph)

Development:

| | | |
|--------------------------------|--|-----|
| Equal to or greater than \$10M | HCD, Legal & SOF
AT&L-KM ³ | SSA |
|--------------------------------|--|-----|

Production:

| | | |
|---|--|-----|
| Equal to or greater than \$25M in any one year or equal to or greater than \$50M for all years. | HCD, Legal & SOF
AT&L-KM ³ | SSA |
|---|--|-----|

NOTE: For Services refer to 5601-1.2l paragraphs

2. Type of Contract D&F

| | | |
|---|--|------------------|
| Single-Award Indefinite-Quantity Contracts greater than \$112M | HCD, Legal, SOF AT&L-KM ³ & HCA | SPE |
| Award Fee Contracts | HCD, Legal & SOF AT&L-KM ³ | HCA |
| Incentive Fee Contracts | KO | HCD ¹ |
| Personal Services Contract | HCD, Legal & SOF AT&L-KM ³ | HCA |
| Undefinitized Contractual Action (UCA) | HCD, Legal & SOF AT&L-KM ³ | HCA |
| Contracts or orders with T&M or LH CLINs (greater than \$1M OR greater than 3 yrs) | HCD, Legal & SOF AT&L-KM ³ | HCA |
| Contracts or orders with T&M or LH CLINs (less than or equal to \$1M AND less than or equal to 3 yrs) | KO | HCD ² |

3. Justification and Approvals (J&As), Brand Name Justifications, and Exception to Fair Opportunity

| | | |
|--|---|------|
| Equal to or less than \$700,000 | KO | KO |
| Greater than \$700,000, but less than or equal to \$6.5M | Legal | PAAC |
| Greater than \$6.5M, but less than or equal to \$13.5M | HCD, Legal, & SOF AT&L-KM ³ | AAC |
| Greater than \$13.5M, but less than or equal to \$93M | Legal, SOF AT&L-KM ³ , & AAC | HCA |
| Greater than \$93M | Legal, SOF AT&L-KM ³ , & AAC | SPE |

4a. Requests for Proposals, Invitations for Bids, and Requests for Quotes

| | | |
|----------------------------|----|----|
| Equal to or less than \$2M | KO | KO |
|----------------------------|----|----|

| | | |
|---|---|--------------------------|
| Greater than \$2M, but less than \$20M | Legal | KO |
| Equal to or greater than \$20M, but less than \$50M | Legal | HCD |
| For competitive -
Equal to or greater than \$50M | HCD, Legal, SSAC-C (if applicable), & SSA | SOF AT&L-KM ³ |
| For non-competitive -
Equal to or greater than \$50M | HCD & Legal | SOF AT&L-KM ³ |

4b. Requests for Final Proposals Revisions (Competitive - if applicable)

| | | |
|--------------------------------|---|-----|
| Equal to or greater than \$50M | HCD, Legal, SSAC-C (if applicable) & SOF AT&L-KM ³ | SSA |
|--------------------------------|---|-----|

5. Business Clearance

| | | |
|---|-------------------|--------------------------|
| Equal to or less than \$2M | KO | KO |
| Greater than \$2M, but less than \$20M | Legal | KO |
| Equal to or greater than \$20M, but less than \$50M | Legal | HCD |
| For competitive -
Equal to or greater than \$50M | HCD & Legal | SOF AT&L-KM ³ |
| For non-competitive -
Equal to or greater than \$50M, but less than \$500M | Legal & HCD | SOF AT&L-KM ³ |
| Equal to or greater than \$500M | Legal, HCD, & DPC | SOF AT&L-KM ³ |

6. Contract Clearance

| | | |
|--|-------|----|
| Equal to or less than \$2M | KO | KO |
| Greater than \$2M, but less than \$20M | Legal | KO |

| | | |
|--|-------------------|--------------------------|
| Equal to or greater than \$20M, but less than \$50M | Legal | HCD |
| For competitive - | | |
| Equal to or greater than \$50M | Legal & HCD | SOF AT&L-KM ³ |
| For non-competitive - | | |
| Equal to or greater than \$50M, but less than \$500M | Legal & HCD | SOF AT&L-KM ³ |
| Equal to or greater than \$500M | Legal, HCD, & DPC | SOF AT&L-KM ³ |

7. Source Selection or Evaluation Plans

| | | |
|------------------------------|-------|-----|
| Equal to or less than \$6.5M | KO | SSA |
| Greater than \$6.5M | Legal | SSA |

8. Post Award Peer Reviews (Required for Services Only -See SOFARS 5601. 170)

| | | |
|--------------------------------|---------------------------------------|------------|
| Equal to or greater than \$50M | Legal, HCD & SOF AT&L-KM ³ | <u>N/A</u> |
|--------------------------------|---------------------------------------|------------|

9. Ratifications

| | | |
|-------------------------------|--|-----|
| Equal to or less than the SAT | Legal | HCD |
| Greater than the SAT | HCD, Legal, & SOF AT&L-KM ³ | HCA |

10. Terminations

| | | |
|--|----------------------------------|-----|
| Termination for Default/Cause | Legal & SOF AT&L-KM ³ | HCA |
| Termination for Convenience (equal to or less than \$6.5M) | KO | HCD |
| Termination for Convenience (greater than \$6.5M) | Legal | HCD |

- ¹ When the HCD is also the KO, approval authority is one level above the HCD.
 - ² When the HCD is also the KO, the approval authority will be the HCA.
 - ³ For SOF AT&L-KP, the cognizant policy LNO for review and approvals is SOF AT&L-KB.
- NOTE: The USSOCOM Acquisition Executive/Senior Procurement Executive (AE/SPE) designates as, and delegates the duties of, the USSOCOM Agency Advocate for Competition (AAC) to the Deputy Director of Procurement, and the Procuring Activity Advocate for Competition (PAAC) to each Division Chief of SOF AT&L-KF/KH/KP/KW/KI/KR/KS and Field Contracting Office Chiefs.

Attachment 5601-1.2 b Table Of Contents

APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW - J une 2021

(unless further restricted by individual Contracting Officer warrant levels)

SOFSA

| | | |
|---------------|---------------|-----------------|
| ACTION | REVIEW | APPROVAL |
|---------------|---------------|-----------------|

1. Acquisition Plan (See [paragraphs](#) & [paragraph](#))

Development:

| | | |
|--------------------------------|-----------------------------|-----|
| Equal to or greater than \$10M | FCO, Legal & SOF
AT&L-KM | SSA |
|--------------------------------|-----------------------------|-----|

Production:

| | | |
|---|-----------------------------|-----|
| Equal to or greater than \$25M in any one year or equal to or greater than \$50M for all years. | FCO, Legal & SOF
AT&L-KM | SSA |
|---|-----------------------------|-----|

NOTE: For Services refer to 5601-1.2l [paragraphs](#)

2. Type of Contract D&F

| | | |
|--|--------------------------------|-----|
| Single-Award Indefinite-Quantity Contracts greater than \$112M | FCO, Legal, SOF AT&L-KM, & HCA | SPE |
|--|--------------------------------|-----|

| | | |
|---------------------|-----------------------------|-----|
| Award Fee Contracts | FCO, Legal & SOF
AT&L-KM | HCA |
|---------------------|-----------------------------|-----|

| | | |
|---|-----------------------------|------------------|
| Incentive Fee Contracts | KO | FCO ₁ |
| Personal Services Contract | FCO, Legal & SOF
AT&L-KM | HCA |
| Undefinitized Contractual Action (UCA) | FCO, Legal & SOF
AT&L-KM | HCA |
| Contracts or orders with T&M or LH
CLINs (greater than \$1M OR greater
than 3 yrs) | FCO, Legal & SOF
AT&L-KM | HCA |
| Contracts or orders with T&M or LH
CLINs (less than or equal to \$1M AND
less than or equal to 3 yrs) | KO | FCO ₂ |

3. Justification and Approvals (J&As), Brand Name Justifications, and Exception to Fair Opportunity

| | | |
|---|------------------------------|------|
| Equal to or less than \$700,000 | KO | KO |
| Greater than \$700,000, but less than or
equal to \$6.5M | Legal | PAAC |
| Greater than \$6.5M, but less than or
equal to \$13.5M | FCO, Legal, & SOF
AT&L-KM | AAC |
| Greater than \$13.5M, but less than or
equal to \$93M | Legal, SOF AT&L-KM,
& AAC | HCA |
| Greater than \$93M | Legal, SOF AT&L-KM,
& AAC | SPE |

4a. Requests for Proposals, Invitations for Bids, and Requests for Quotes

| | | |
|--|-------|-----|
| Equal to or less than \$2M | KO | KO |
| Greater than \$2M, but less than \$20M | Legal | KO |
| Equal to or greater than \$20M, but less
than \$50M | Legal | FCO |

| | | |
|---|---|-------------|
| For competitive -
Equal to or greater than \$50M | FCO, Legal, SSAC-C (if applicable), & SSA | SOF AT&L-KM |
|---|---|-------------|

| | | |
|---|-------------|-------------|
| For non-competitive -
Equal to or greater than \$50M | FCO & Legal | SOF AT&L-KM |
|---|-------------|-------------|

4b. Requests for Final Proposals Revisions (Competitive - if applicable)

| | | |
|--------------------------------|--|-----|
| Equal to or greater than \$50M | FCO, Legal, SSAC-C (if applicable) & SOF AT&L-KM | SSA |
|--------------------------------|--|-----|

5. Business Clearance

| | | |
|----------------------------|----|----|
| Equal to or less than \$2M | KO | KO |
|----------------------------|----|----|

| | | |
|--|-------|----|
| Greater than \$2M, but less than \$20M | Legal | KO |
|--|-------|----|

| | | |
|---|-------|-----|
| Equal to or greater than \$20M, but less than \$50M | Legal | FCO |
|---|-------|-----|

| | | |
|---|-------------|-------------|
| For competitive -
Equal to or greater than \$50M | FCO & Legal | SOF AT&L-KM |
|---|-------------|-------------|

| | | |
|---|-------------|-------------|
| For non-competitive -
Equal to or greater than \$50M, but less than \$500M | Legal & FCO | SOF AT&L-KM |
|---|-------------|-------------|

| | | |
|---------------------------------|-------------------|-------------|
| Equal to or greater than \$500M | Legal, FCO, & DPC | SOF AT&L-KM |
|---------------------------------|-------------------|-------------|

6. Contract Clearance

| | | |
|----------------------------|----|----|
| Equal to or less than \$2M | KO | KO |
|----------------------------|----|----|

| | | |
|--|-------|----|
| Greater than \$2M, but less than \$20M | Legal | KO |
|--|-------|----|

| | | |
|---|-------|-----|
| Equal to or greater than \$20M, but less than \$50M | Legal | FCO |
|---|-------|-----|

| | | |
|---|-------------|-------------|
| For competitive -
Equal to or greater than \$50M | Legal & FCO | SOF AT&L-KM |
|---|-------------|-------------|

| | | |
|--|-------------|-------------|
| For non-competitive -
Equal to or greater than \$50M, but less
than \$500M | Legal & FCO | SOF AT&L-KM |
|--|-------------|-------------|

| | | |
|---------------------------------|-------------------|-------------|
| Equal to or greater than \$500M | Legal, FCO, & DPC | SOF AT&L-KM |
|---------------------------------|-------------------|-------------|

7. Source Selection or Evaluation Plans

| | | |
|------------------------------|----|-----|
| Equal to or less than \$6.5M | KO | SSA |
|------------------------------|----|-----|

| | | |
|---------------------|-------|-----|
| Greater than \$6.5M | Legal | SSA |
|---------------------|-------|-----|

8. Post Award Peer Reviews (Required for Services Only -See SOFARS 5601.170)

| | | |
|--------------------------------|-----------------------------|------------|
| Equal to or greater than \$50M | Legal, FCO & SOF
AT&L-KM | <u>N/A</u> |
|--------------------------------|-----------------------------|------------|

9. Ratifications

| | | |
|-------------------------------|-------|-----|
| Equal to or less than the SAT | Legal | FCO |
|-------------------------------|-------|-----|

| | | |
|----------------------|------------------------------|-----|
| Greater than the SAT | FCO, Legal, & SOF
AT&L-KM | HCA |
|----------------------|------------------------------|-----|

10. Terminations

| | | |
|-------------------------------|---------------------|-----|
| Termination for Default/Cause | Legal & SOF AT&L-KM | HCA |
|-------------------------------|---------------------|-----|

| | | |
|---|----|-----|
| Termination for Convenience (equal to or
less than \$6.5M) | KO | FCO |
|---|----|-----|

| | | |
|--|-------|-----|
| Termination for Convenience (greater
than \$6.5M) | Legal | FCO |
|--|-------|-----|

¹ When the FCO is also the KO, approval authority is one level above the FCO.

² When the FCO is also the KO, the approval authority will be the HCA.

NOTE: The USSOCOM Acquisition Executive/Senior Procurement Executive (AE/SPE) designates as, and delegates the duties of, the USSOCOM Agency Advocate for Competition (AAC) to the Deputy Director of Procurement, and the Procuring Activity Advocate for Competition (PAAC) to each Division Chief of SOF AT&L-KF/KH/KP/KW/KI/KR/KS and Field Contracting Office Chiefs.

Attachment 5601-1.2 c Table Of Contents

APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW - June 2021

(unless further restricted by individual Contracting Officer warrant levels)

TAKO

| ACTION | REVIEW | APPROVAL |
|--------|--------|----------|
|--------|--------|----------|

1. Acquisition Plan (See [paragraphs](#) & [paragraph](#))

Development:

| | | |
|--------------------------------|-----------------------------|-----|
| Equal to or greater than \$10M | FCO, Legal & SOF
AT&L-KB | SSA |
|--------------------------------|-----------------------------|-----|

Production:

| | | |
|---|-----------------------------|-----|
| Equal to or greater than \$25M in any one year or equal to or greater than \$50M for all years. | FCO, Legal & SOF
AT&L-KB | SSA |
|---|-----------------------------|-----|

NOTE: For Services refer to 5601-1.2l [paragraphs](#)

2. Type of Contract D&F

| | | |
|--|--------------------------------|-----|
| Single-Award Indefinite-Quantity Contracts greater than \$112M | FCO, Legal, SOF AT&L-KB, & HCA | SPE |
|--|--------------------------------|-----|

| | | |
|---------------------|-----------------------------|-----|
| Award Fee Contracts | FCO, Legal & SOF
AT&L-KB | HCA |
|---------------------|-----------------------------|-----|

| | | |
|-------------------------|----|------------------|
| Incentive Fee Contracts | KO | FCO ¹ |
|-------------------------|----|------------------|

| | | |
|----------------------------|-----------------------------|-----|
| Personal Services Contract | FCO, Legal & SOF
AT&L-KB | HCA |
|----------------------------|-----------------------------|-----|

| | | |
|--|-----------------------------|-----|
| Undefinitized Contractual Action (UCA) | FCO, Legal & SOF
AT&L-KB | HCA |
|--|-----------------------------|-----|

| | | |
|--|--------------------------|-----|
| Contracts or orders with T&M or LH CLINs (greater than \$1M OR greater than 3 yrs) | FCO, Legal & SOF AT&L-KB | HCA |
|--|--------------------------|-----|

| | | |
|---|----|------------------|
| Contracts or orders with T&M or LH CLINs (less than or equal to \$1M AND less than or equal to 3 yrs) | KO | FCO ² |
|---|----|------------------|

3. Justification and Approvals (J&As), Brand Name Justifications, and Exception to Fair Opportunity

| | | |
|---------------------------------|----|----|
| Equal to or less than \$700,000 | KO | KO |
|---------------------------------|----|----|

| | | |
|--|-------|------|
| Greater than \$700,000, but less than or equal to \$6.5M | Legal | PAAC |
|--|-------|------|

| | | |
|--|---------------------------|-----|
| Greater than \$6.5M, but less than or equal to \$13.5M | FCO, Legal, & SOF AT&L-KB | AAC |
|--|---------------------------|-----|

| | | |
|---|---------------------------|-----|
| Greater than \$13.5M, but less than or equal to \$93M | Legal, SOF AT&L-KB, & AAC | HCA |
|---|---------------------------|-----|

| | | |
|--------------------|---------------------------|-----|
| Greater than \$93M | Legal, SOF AT&L-KB, & AAC | SPE |
|--------------------|---------------------------|-----|

4a. Requests for Proposals, Invitations for Bids, and Requests for Quotes

| | | |
|----------------------------|----|----|
| Equal to or less than \$2M | KO | KO |
|----------------------------|----|----|

| | | |
|--|-------|----|
| Greater than \$2M, but less than \$20M | Legal | KO |
|--|-------|----|

| | | |
|---|-------|-----|
| Equal to or greater than \$20M, but less than \$50M | Legal | FCO |
|---|-------|-----|

| | | |
|--|---|-------------|
| For competitive - Equal to or greater than \$50M | FCO, Legal, SSAC-C (if applicable), & SSA | SOF AT&L-KB |
|--|---|-------------|

| | | |
|--|-------------|-------------|
| For non-competitive - Equal to or greater than \$50M | FCO & Legal | SOF AT&L-KB |
|--|-------------|-------------|

4b. Requests for Final Proposals Revisions (Competitive - if applicable)

| | | |
|--------------------------------|--|-----|
| Equal to or greater than \$50M | FCO, Legal, SSAC-C (if applicable) & SOF AT&L-KB | SSA |
|--------------------------------|--|-----|

5. Business Clearance

| | | |
|----------------------------|----|----|
| Equal to or less than \$2M | KO | KO |
|----------------------------|----|----|

| | | |
|--|-------|----|
| Greater than \$2M, but less than \$20M | Legal | KO |
|--|-------|----|

| | | |
|---|-------|-----|
| Equal to or greater than \$20M, but less than \$50M | Legal | FCO |
|---|-------|-----|

| | | |
|---|-------------|-------------|
| For competitive -
Equal to or greater than \$50M | FCO & Legal | SOF AT&L-KB |
|---|-------------|-------------|

| | | |
|---|-------------|-------------|
| For non-competitive -
Equal to or greater than \$50M, but less than \$500M | Legal & FCO | SOF AT&L-KB |
|---|-------------|-------------|

| | | |
|---------------------------------|-------------------|-------------|
| Equal to or greater than \$500M | Legal, FCO, & DPC | SOF AT&L-KB |
|---------------------------------|-------------------|-------------|

6. Contract Clearance

| | | |
|----------------------------|----|----|
| Equal to or less than \$2M | KO | KO |
|----------------------------|----|----|

| | | |
|--|-------|----|
| Greater than \$2M, but less than \$20M | Legal | KO |
|--|-------|----|

| | | |
|---|-------|-----|
| Equal to or greater than \$20M, but less than \$50M | Legal | FCO |
|---|-------|-----|

| | | |
|---|-------------|-------------|
| For competitive -
Equal to or greater than \$50M | Legal & FCO | SOF AT&L-KB |
|---|-------------|-------------|

| | | |
|---|-------------|-------------|
| For non-competitive -
Equal to or greater than \$50M, but less than \$500M | Legal & FCO | SOF AT&L-KB |
|---|-------------|-------------|

| | | |
|---------------------------------|-------------------|-------------|
| Equal to or greater than \$500M | Legal, FCO, & DPC | SOF AT&L-KB |
|---------------------------------|-------------------|-------------|

7. Source Selection or Evaluation Plans

| | | |
|------------------------------|-------|-----|
| Equal to or less than \$6.5M | KO | SSA |
| Greater than \$6.5M | Legal | SSA |

8. Post Award Peer Reviews (Required for Services Only -See SOFARS 5601.170)

| | | |
|--------------------------------|-----------------------------|------------|
| Equal to or greater than \$50M | Legal, FCO & SOF
AT&L-KB | <u>N/A</u> |
|--------------------------------|-----------------------------|------------|

9. Ratifications

| | | |
|-------------------------------|------------------------------|-----|
| Equal to or less than the SAT | Legal | FCO |
| Greater than the SAT | FCO, Legal, & SOF
AT&L-KB | HCA |

10. Terminations

| | | |
|--|---------------------|-----|
| Termination for Default/Cause | Legal & SOF AT&L-KB | HCA |
| Termination for Convenience (equal to or less than \$6.5M) | KO | FCO |
| Termination for Convenience (greater than \$6.5M) | Legal | FCO |

¹ When the FCO is also the KO, approval authority is one level above the FCO.

² When the FCO is also the KO, the approval authority will be the HCA.

NOTE: The USSOCOM Acquisition Executive/Senior Procurement Executive (AE/SPE) designates as, and delegates the duties of, the USSOCOM Agency Advocate for Competition (AAC) to the Deputy Director of Procurement, and the Procuring Activity Advocate for Competition (PAAC) to each Division Chief of SOF AT&L-KF/KH/KP/KW/KI/KR/KS and Field Contracting Office Chiefs.

Attachment 5601-1.2 d Table Of Contents

APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW - June 2021

(unless further restricted by individual Contracting Officer warrant levels)

USASOC

| ACTION | REVIEW | APPROVAL |
|--------|--------|----------|
|--------|--------|----------|

1. Acquisition Plan (See [paragraphs](#) & [paragraph](#)

Development:

| | | |
|--------------------------------|-----------------------------|-----|
| Equal to or greater than \$10M | FCO, Legal & SOF
AT&L-KB | SSA |
|--------------------------------|-----------------------------|-----|

Production:

| | | |
|---|-----------------------------|-----|
| Equal to or greater than \$25M in any one year or equal to or greater than \$50M for all years. | FCO, Legal & SOF
AT&L-KB | SSA |
|---|-----------------------------|-----|

NOTE: For Services refer to 5601-1.2l [paragraphs](#)

2. Type of Contract D&F

| | | |
|--|------------------------------|-----|
| Single-Award Indefinite-Quantity Contracts greater than \$112M | Legal, SOF AT&L-KB, &
HCA | SPE |
|--|------------------------------|-----|

| | | |
|---------------------|---------------------|-----|
| Award Fee Contracts | Legal & SOF AT&L-KB | HCA |
|---------------------|---------------------|-----|

| | | |
|-------------------------|----|------------------|
| Incentive Fee Contracts | KO | FCO ¹ |
|-------------------------|----|------------------|

| | | |
|----------------------------|---------------------|-----|
| Personal Services Contract | Legal & SOF AT&L-KB | HCA |
|----------------------------|---------------------|-----|

| | | |
|--|---------------------|-----|
| Undefinitized Contractual Action (UCA) | Legal & SOF AT&L-KB | HCA |
|--|---------------------|-----|

| | | |
|--|---------------------|-----|
| Contracts or orders with T&M or LH CLINs (greater than \$1M OR greater than 3 yrs) | Legal & SOF AT&L-KB | HCA |
|--|---------------------|-----|

| | | |
|---|----|------------------|
| Contracts or orders with T&M or LH CLINs (less than or equal to \$1M AND less than or equal to 3 yrs) | KO | FCO ² |
|---|----|------------------|

3. Justification and Approvals (J&As), Brand Name Justifications, and Exception to Fair Opportunity

| | | |
|---------------------------------|----|----|
| Equal to or less than \$700,000 | KO | KO |
|---------------------------------|----|----|

| | | |
|--|------------------------------------|------|
| Greater than \$700,000 but less than \$6.5M | Legal | PAAC |
| Equal to or greater than \$6.5M, but less than \$13.5M | FCO, Legal, SOF AT&L-KB | AAC |
| Equal to or greater than \$13.5M, but less than \$93M | FCO, Legal, SOF AT&L-KB& AAC | HCA |
| Equal to or greater than \$93M | FCO, Legal, SOF AT&L-KB, AAC & HCA | SPE |

4a. Requests for Proposals, Invitations for Bids, and Requests for Quotes

| | | |
|---|---|-------------|
| Equal to or less than \$2M | KO | KO |
| Greater than \$2M, but less than \$10M | Legal | FCO |
| Equal to or greater than \$10M, but less than \$50M | Legal | SOF AT&L-KB |
| For competitive -
Equal to or greater than \$50M | FCO, Legal, SSAC-C (if applicable), & SSA | SOF AT&L-KB |
| For non-competitive -
Equal to or greater than \$50M | Legal & FCO | SOF AT&L-KB |

4b. Requests for Final Proposals Revisions (Competitive - if applicable)

| | | |
|--------------------------------|--|-----|
| Equal to or greater than \$50M | FCO, Legal, SSAC-C (if applicable) & SOF AT&L-KB | SSA |
|--------------------------------|--|-----|

5. Business Clearance

| | | |
|---|-------------|-------------|
| Equal to or less than \$2M | KO | KO |
| Greater than \$2M, but less than \$10M | Legal | FCO |
| Equal to or greater than \$10M, but less than \$50M | FCO & Legal | SOF AT&L-KB |

For competitive -

| | | |
|--------------------------------|-------------|-------------|
| Equal to or greater than \$50M | FCO & Legal | SOF AT&L-KB |
|--------------------------------|-------------|-------------|

For non-competitive -

| | | |
|--|-------------|-------------|
| Equal to or greater than \$50M, but less than \$500M | FCO & Legal | SOF AT&L-KB |
|--|-------------|-------------|

| | | |
|---------------------------------|-------------------|-------------|
| Equal to or greater than \$500M | FCO, Legal, & DPC | SOF AT&L-KB |
|---------------------------------|-------------------|-------------|

6. Contract Clearance

| | | |
|----------------------------|----|----|
| Equal to or less than \$2M | KO | KO |
|----------------------------|----|----|

| | | |
|--|----|-----|
| Greater than \$2M, but less than \$10M | KO | FCO |
|--|----|-----|

| | | |
|---|-------------|-------------|
| Equal to or greater than \$10M, but less than \$50M | FCO & Legal | SOF AT&L-KB |
|---|-------------|-------------|

| | | |
|---|-------------|-------------|
| For competitive -
Equal to or greater than \$50M | FCO & Legal | SOF AT&L-KB |
|---|-------------|-------------|

| | | |
|---|-------------|-------------|
| For non-competitive -
<u>Equal to or greater than \$ 50M, but less than \$500M</u> | FCO & Legal | SOF AT&L-KB |
|---|-------------|-------------|

| | | |
|---|-------------------|-------------|
| <u>Equal to or greater than \$ 500M</u> | FCO, Legal, & DPC | SOF AT&L-KB |
|---|-------------------|-------------|

7. Source Selection or Evaluation Plans

| | | |
|------------------|----|-----|
| Less than \$6.5M | KO | SSA |
|------------------|----|-----|

| | | |
|---------------------------------|----------------------|-----|
| Equal to or greater than \$6.5M | SOF AT&L-KB, & Legal | SSA |
|---------------------------------|----------------------|-----|

8. Post Award Peer Reviews (Required for Services Only -See SOFARS 5601.170)

| | | |
|--------------------------------|-------------------------------|------------|
| Equal to or greater than \$50M | Legal, FCO & SOF
AT&L- /KB | <u>N/A</u> |
|--------------------------------|-------------------------------|------------|

9. Ratifications

| | | |
|-------------------------------|------------------------------|-----|
| Equal to or less than the SAT | KO & Legal | FCO |
| Greater than the SAT | FCO, Legal, & SOF
AT&L-KB | HCA |

10. Terminations

| | | |
|---|-------|-------------|
| Termination for Default | Legal | SOF AT&L-KB |
| Termination for Convenience (equal to or less than the SAT) | KO | FCO |
| Termination for Convenience (greater than the SAT) | Legal | SOF AT&L-KB |

¹ When the FCO is also the KO, approval authority is one level above the FCO.

² When the FCO is also the KO, the approval authority will be the HCA.

NOTE: The USSOCOM Acquisition Executive/Senior Procurement Executive (AE/SPE) designates as, and delegates the duties of, the USSOCOM Agency Advocate for Competition (AAC) to the Deputy Director of Procurement, and the Procuring Activity Advocate for Competition (PAAC) to each Division Chief of SOF AT&L-KF/KH/KP/KW/KI/KR/KS and Field Contracting Office Chiefs.

Attachment 5601-1.2 e Table Of Contents

APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW - June 2021

(unless further restricted by individual Contracting Officer warrant levels)

WARC OM (includes NSWDG)

| ACTION | REVIEW | APPROVAL |
|---------------|---------------|-----------------|
|---------------|---------------|-----------------|

1. Acquisition Plan (See [paragraphs](#) & [paragraph](#))

Development:

| | | |
|--------------------------------|---------------------------|-----|
| Equal to or greater than \$10M | FCO*, Legal & SOF AT&L-KB | SSA |
|--------------------------------|---------------------------|-----|

Production:

| | | |
|---|---------------------------|-----|
| Equal to or greater than \$25M in any one year or equal to or greater than \$50M for all years. | FCO*, Legal & SOF AT&L-KB | SSA |
|---|---------------------------|-----|

NOTE: For Services refer to 5601-1.2l paragraphs

2. Type of Contract D&F

| | | |
|---|---------------------------------|------------------|
| Single-Award Indefinite-Quantity Contracts greater than \$112M | Legal, SOF AT&L-KM/KX/KB, & HCA | SPE |
| Award Fee Contracts | Legal & SOF AT&L-KB | HCA |
| Incentive Fee Contracts | KO | FCO ₁ |
| Personal Services Contract | Legal & SOF AT&L-KB | HCA |
| Undefinitized Contractual Action (UCA) | Legal & SOF AT&L-KB | HCA |
| Contracts or orders with T&M or LH CLINs (greater than \$1M OR greater than 3 yrs) | Legal & SOF AT&L-KB | HCA |
| Contracts or orders with T&M or LH CLINs (less than or equal to \$1M AND less than or equal to 3 yrs) | KO | FCO ₂ |

3. Justification and Approvals (J&As), Brand Name Justifications, and Exception to Fair Opportunity

| | | |
|--|-------------------------------------|------|
| Equal to or less than \$700,000 | KO | KO |
| Greater than \$700,000 but less than \$6.5M | Legal | PAAC |
| Equal to or greater than \$6.5M, but less than \$13.5M | FCO, Legal, SOF AT&L-KB, & PAAC | AAC |
| Equal to or greater than \$13.5M, but less than \$93M | FCO, Legal, SOF AT&L-KB, PAAC & AAC | HCA |

| | | |
|--------------------------------|---|-----|
| Equal to or greater than \$93M | FCO, Legal, SOF AT&L-KB,
PAAC, AAC & HCA | SPE |
|--------------------------------|---|-----|

4a. Requests for Proposals, Invitations for Bids, and Requests for Quotes

| | | |
|----------------------------|----|----|
| Equal to or less than \$2M | KO | KO |
|----------------------------|----|----|

| | | |
|---------------------------------------|-------|----|
| Greater than \$2M, but less than \$4M | Legal | KO |
|---------------------------------------|-------|----|

| | | |
|---|-------|-----|
| Equal to or greater than \$4M, but less than \$6.5M | Legal | FCO |
|---|-------|-----|

| | | |
|---|---|-------------|
| For competitive -
Equal to or greater than \$50M | FCO, Legal, SSAC-C (if applicable), & SSA | SOF AT&L-KB |
|---|---|-------------|

| | | |
|---|-------------|-------------|
| For non-competitive -
Equal to or greater than \$50M | Legal & FCO | SOF AT&L-KB |
|---|-------------|-------------|

4b. Requests for Final Proposals Revisions (Competitive - if applicable)

| | | |
|--------------------------------|--|-----|
| Equal to or greater than \$50M | FCO, Legal, SSAC-C (if applicable) & SOF AT&L-KB | SSA |
|--------------------------------|--|-----|

5. Business Clearance

| | | |
|----------------------------|----|----|
| Equal to or less than \$2M | KO | KO |
|----------------------------|----|----|

| | | |
|---------------------------------------|-------|----|
| Greater than \$2M, but less than \$4M | Legal | KO |
|---------------------------------------|-------|----|

| | | |
|---|-------|-----|
| Equal to or greater than \$4M, but less than \$6.5M | Legal | FCO |
|---|-------|-----|

| | | |
|--|-------------|-------------|
| Equal to or greater than \$6.5M, but less than \$50M | FCO & Legal | SOF AT&L-KB |
|--|-------------|-------------|

| | | |
|---|-------------|-------------|
| For competitive -
Equal to or greater than \$50M | FCO & Legal | SOF AT&L-KB |
|---|-------------|-------------|

| | | |
|---|-------------|-------------|
| For non-competitive -
Equal to or greater than \$50M, but less than \$500M | FCO & Legal | SOF AT&L-KB |
|---|-------------|-------------|

| | | |
|---------------------------------|-------------------|-------------|
| Equal to or greater than \$500M | FCO, Legal, & DPC | SOF AT&L-KB |
|---------------------------------|-------------------|-------------|

6. Contract Clearance

| | | |
|----------------------------|----|----|
| Equal to or less than \$2M | KO | KO |
|----------------------------|----|----|

| | | |
|---------------------------------------|-------|----|
| Greater than \$2M, but less than \$4M | Legal | KO |
|---------------------------------------|-------|----|

| | | |
|---|-------|-----|
| Equal to or greater than \$4M, but less than \$6.5M | Legal | FCO |
|---|-------|-----|

| | | |
|--|-------------|-------------|
| Equal to or greater than \$6.5M, but less than \$50M | FCO & Legal | SOF AT&L-KB |
|--|-------------|-------------|

| | | |
|---|-------------|-------------|
| For competitive -
Equal to or greater than \$50M | FCO & Legal | SOF AT&L-KB |
|---|-------------|-------------|

| | | |
|--|-------------|-------------|
| For non-competitive -
<u>Equal to or greater than \$50M, but less than \$500M</u> | FCO & Legal | SOF AT&L-KB |
|--|-------------|-------------|

| | | |
|--|-------------------|-------------|
| <u>Equal to or greater than \$500M</u> | FCO, Legal, & DPC | SOF AT&L-KB |
|--|-------------------|-------------|

7. Source Selection or Evaluation Plans

| | | |
|------------------|----|-----|
| Less than \$6.5M | KO | SSA |
|------------------|----|-----|

| | | |
|---------------------------------|----------------------------|-----|
| Equal to or greater than \$6.5M | SOF AT&L-KM/KX/KB, & Legal | SSA |
|---------------------------------|----------------------------|-----|

8. Post Award Peer Reviews (Required for Services Only -See SOFARS 5601.170)

| | | |
|--------------------------------|--------------------------|------------|
| Equal to or greater than \$50M | Legal, FCO & SOF AT&L-KB | <u>N/A</u> |
|--------------------------------|--------------------------|------------|

9. Ratifications

| | | |
|-------------------------------|------------|-----|
| Equal to or less than the SAT | KO & Legal | FCO |
|-------------------------------|------------|-----|

| | | |
|----------------------|---------------------------|-----|
| Greater than the SAT | FCO, Legal, & SOF AT&L-KB | HCA |
|----------------------|---------------------------|-----|

10. Terminations

| | | |
|---|-------|-------------|
| Termination for Default | Legal | SOF AT&L-KB |
| Termination for Convenience (equal to or less than the SAT) | KO | FCO |
| Termination for Convenience (greater than the SAT) | Legal | SOF AT&L-KB |

1 When the FCO is also the KO, approval authority is one level above the FCO.

2 When the FCO is also the KO, the approval authority will be the HCA.

NOTE: The USSOCOM Acquisition Executive/Senior Procurement Executive (AE/SPE) designates as, and delegates the duties of, the USSOCOM Agency Advocate for Competition (AAC) to the Deputy Director of Procurement, and the Procuring Activity Advocate for Competition (PAAC) to each Division Chief of SOF AT&L-KF/KH/KP/KW/KI/KR/KS and Field Contracting Office Chiefs.

Attachment 5601-1.2 f Table Of Contents

APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW - January 2020

(unless further restricted by individual Contracting Officer warrant levels)

MARSOC

| | | |
|---------------|---------------|-----------------|
| ACTION | REVIEW | APPROVAL |
|---------------|---------------|-----------------|

1. Acquisition Plan (See [paragraphs](#))

Development:

| | | |
|--------------------------------|------------------------------|-----|
| Equal to or greater than \$10M | FCO*, Legal & SOF
AT&L-KB | SSA |
|--------------------------------|------------------------------|-----|

Production:

| | | |
|---|------------------------------|-----|
| Equal to or greater than \$25M in any one year or equal to or greater than \$50M for all years. | FCO*, Legal & SOF
AT&L-KB | SSA |
|---|------------------------------|-----|

NOTE: For Services refer to 5601-1.2l [paragraphs](#) & [paragraph](#)

2. Type of Contract D&F

| | | |
|--|---------------------------|------------------|
| Single-Award Indefinite-Quantity Contracts greater than \$112M | Legal, SOF AT&L-KB, & HCA | SPE |
| Award Fee Contracts | Legal & SOF AT&L-KB | HCA |
| Incentive Fee Contracts | KO | FCO ₁ |

Attachment

| | | |
|---|---------------------|------------------|
| Personal Services Contract | Legal & SOF AT&L-KB | HCA |
| Undefinitized Contractual Action (UCA) | Legal & SOF AT&L-KB | HCA |
| Contracts or orders with T&M or LH CLINs (greater than \$1M OR greater than 3 yrs) | Legal & SOF AT&L-KB | HCA |
| Contracts or orders with T&M or LH CLINs (less than or equal to \$1M AND less than or equal to 3 yrs) | KO | FCO ₂ |

3. Justification and Approvals (J&As), Brand Name Justifications, and Exception to Fair Opportunity

| | | |
|--|-------------------------------------|------|
| <u>Equal to or less than SAT</u> | KO | KO |
| <u>Greater than SAT but less than \$700,000</u> | Legal | PAAC |
| Equal to or greater than \$700,000 but less than \$13.5M | FCO, Legal, SOF AT&L-KB | AAC |
| Equal to or greater than \$13.5M, but less than \$93M | FCO, Legal, SOF AT&L-KB, & AAC | HCA |
| Equal to or greater than \$93M | FCO, Legal, SOF AT&L-KB, AAC, & HCA | SPE |

4. Requests for Proposals, Invitations for Bids, and Requests for Quotes

| | | |
|---|-------------|-------------|
| Equal to or less than SAT | KO | KO |
| Greater than SAT but less than \$750,000 | KO | FCO |
| Equal to or greater than \$750,000 but less than \$1M | Legal | FCO |
| Equal to or greater than \$1M | Legal & FCO | SOF AT&L-KB |

5. Business Clearance

| | | |
|---|-------------|-------------|
| Equal to or less than SAT | KO | KO |
| Greater than SAT but less than \$750,000 | KO | FCO |
| Equal to or greater than \$750,000 but less than \$1M | Legal | FCO |
| Equal to or greater than \$1M | Legal & FCO | SOF AT&L-KB |

6. Contract Clearance

| | | |
|---|-------------|-------------|
| Equal to or less than SAT | KO | KO |
| Greater than SAT but less than \$750,000 | KO | FCO |
| Equal to or greater than \$750,000 but less than \$1M | Legal | FCO |
| Equal to or greater than \$1M | Legal & FCO | SOF AT&L-KB |

7. Source Selection or Evaluation Plans

| | | |
|----------------------------|---------------------|-----|
| Equal to or less than \$1M | FCO | SSA |
| Greater than \$1M | Legal & SOF AT&L-KB | SSA |

8. Ratifications

| | | |
|--------------------------------|-----------------------------|-----|
| Equal to or less than \$25,000 | KO & Legal | FCO |
| Greater than \$25,000 | Legal, FCO & SOF
AT&L-KB | HCA |

9. Terminations

| | | |
|---|-------------|-------------|
| Termination for Default | Legal & FCO | SOF AT&L-KB |
| Termination for Convenience (equal to or less than the SAT) | KO | FCO |
| Termination for Convenience (greater than the SAT) | Legal & FCO | SOF AT&L-KB |

¹ When the FCO is also the KO, approval authority is one level above the FCO.

² When the FCO is also the KO, the approval authority will be the HCA.

NOTE: The USSOCOM Acquisition Executive/Senior Procurement Executive (AE/SPE) designates as, and delegates the duties of, the USSOCOM Agency Advocate for Competition (AAC) to the Deputy Director of Procurement, and the Procuring Activity Advocate for Competition (PAAC) to each Division Chief of SOF AT&L-KF/KH/KP/KW/KI/KR/KS and Field Contracting Office Chiefs.

Attachment 5601-1.2 g Table Of Contents

APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW - June 2021

(unless further restricted by individual Contracting Officer warrant levels)

AID and RDA

| ACTION | REVIEW | APPROVAL |
|---------------|---------------|-----------------|
|---------------|---------------|-----------------|

1. Acquisition Plan (See [paragraphs](#) & [paragraph](#))

Development:

| | | |
|--------------------------------|---------------------------|-----|
| Equal to or greater than \$10M | FCO*, Legal & SOF AT&L-KB | SSA |
|--------------------------------|---------------------------|-----|

Production:

| | | |
|---|---------------------------|-----|
| Equal to or greater than \$25M in any one year or equal to or greater than \$50M for all years. | FCO*, Legal & SOF AT&L-KB | SSA |
|---|---------------------------|-----|

NOTE: For Services refer to 5601-1.2l paragraphs

2. Type of Contract D&F

| | | |
|--|---------------------------------|-----|
| Single-Award Indefinite-Quantity Contracts greater than \$112M | Legal, SOF AT&L-KM/KX/KB, & HCA | SPE |
|--|---------------------------------|-----|

| | | |
|---------------------|---------------------|-----|
| Award Fee Contracts | Legal & SOF AT&L-KB | HCA |
|---------------------|---------------------|-----|

| | | |
|-------------------------|----|------------------|
| Incentive Fee Contracts | KO | FCO ₁ |
|-------------------------|----|------------------|

| | | |
|----------------------------|---------------------|-----|
| Personal Services Contract | Legal & SOF AT&L-KB | HCA |
|----------------------------|---------------------|-----|

| | | |
|--|---------------------|-----|
| Undefinitized Contractual Action (UCA) | Legal & SOF AT&L-KB | HCA |
|--|---------------------|-----|

| | | |
|--|---------------------|-----|
| Contracts or orders with T&M or LH CLINs (greater than \$1M OR greater than 3 yrs) | Legal & SOF AT&L-KB | HCA |
|--|---------------------|-----|

| | | |
|---|----|------------------|
| Contracts or orders with T&M or LH CLINs (less than or equal to \$1M AND less than or equal to 3 yrs) | KO | FCO ₂ |
|---|----|------------------|

3. Justification and Approvals (J&As), Brand Name Justifications, and Exception to Fair Opportunity

| | | |
|---------------------------------|----|----|
| Equal to or less than \$700,000 | KO | KO |
|---------------------------------|----|----|

| | | |
|---|-------|------|
| Greater than \$700,000 but less than \$6.5M | Legal | PAAC |
|---|-------|------|

| | | |
|--|-------------------------|-----|
| Equal to or greater than \$6.5M, but less than \$13.5M | FCO, Legal, SOF AT&L-KB | AAC |
|--|-------------------------|-----|

| | | |
|---|-------------------------------|-----|
| Equal to or greater than \$13.5M, but less than \$93M | FCO, Legal, SOF AT&L-KB & AAC | HCA |
|---|-------------------------------|-----|

| | | |
|--------------------------------|--|-----|
| Equal to or greater than \$93M | FCO, Legal, SOF AT&L-KB,
** AAC & HCA | SPE |
|--------------------------------|--|-----|

4a. Requests for Proposals, Invitations for Bids, and Requests for Quotes

| | | |
|---|---|-------------|
| Equal to or less than \$2M | KO | KO |
| Greater than \$2M, but less than \$6.5M | Legal | HCD/FCO |
| Equal to or greater than \$6.5M, but less than \$50M | Legal | SOF AT&L-KB |
| For competitive -
Equal to or greater than \$50M | FCO, Legal, SSAC-C (if applicable), & SSA | SOF AT&L-KB |
| For non-competitive -
Equal to or greater than \$50M | Legal & FCO | SOF AT&L-KB |

4b. Requests for Final Proposals Revisions (Competitive - if applicable)

| | | |
|--------------------------------|--|-----|
| Equal to or greater than \$50M | FCO, Legal, SSAC-C (if applicable) & SOF AT&L-KB | SSA |
|--------------------------------|--|-----|

5. Business Clearance

| | | |
|---|-------------------|-------------|
| Equal to or less than \$2M | KO | KO |
| Greater than \$2M, but less than \$6.5M | Legal | HCD/FCO |
| Equal to or greater than \$6.5M, but less than \$50M | FCO & Legal | SOF AT&L-KB |
| For competitive -
Equal to or greater than \$50M | FCO & Legal | SOF AT&L-KB |
| For non-competitive -
Equal to or greater than \$50M, but less than \$500M | FCO & Legal | SOF AT&L-KB |
| Equal to or greater than \$500M | FCO, Legal, & DPC | SOF AT&L-KB |

6. Contract Clearance

| | | |
|--|-------------------|-------------|
| Equal to or less than \$2M | KO | KO |
| Greater than \$2M, but less than \$6.5M | KO | HCD/FCO |
| Equal to or greater than \$6.5M, but less than \$50M | FCO & Legal | SOF AT&L-KB |
| For competitive -
Equal to or greater than \$50M | FCO & Legal | SOF AT&L-KB |
| For non-competitive -
<u>Equal to or greater than \$50M, but less than \$500M</u> | FCO & Legal | SOF AT&L-KB |
| <u>Equal to or greater than \$500M</u> | FCO, Legal, & DPC | SOF AT&L-KB |

7. Source Selection or Evaluation Plans

| | | |
|---------------------------------|----------------------|-----|
| Less than \$6.5M | KO | SSA |
| Equal to or greater than \$6.5M | SOF AT&L-KB, & Legal | SSA |

8. Post Award Peer Reviews (Required for Services Only -See SOFARS 5601.170)

| | | |
|--------------------------------|--------------------------|------------|
| Equal to or greater than \$50M | Legal, FCO & SOF AT&L-KB | <u>N/A</u> |
|--------------------------------|--------------------------|------------|

9. Ratifications

| | | |
|-------------------------------|---------------------------|-----|
| Equal to or less than the SAT | KO & Legal | FCO |
| Greater than the SAT | FCO, Legal, & SOF AT&L-KB | HCA |

10. Terminations

| | | |
|-------------------------|-------|-------------|
| Termination for Default | Legal | SOF AT&L-KB |
|-------------------------|-------|-------------|

| | | |
|---|-------|-------------|
| Termination for Convenience (equal to or less than the SAT) | KO | HCD/FCO |
| Termination for Convenience (greater than the SAT) | Legal | SOF AT&L-KB |

¹ When the FCO is also the KO, approval authority is one level above the FCO.

² When the FCO is also the KO, the approval authority will be the HCA.

NOTE: The USSOCOM Acquisition Executive/Senior Procurement Executive (AE/SPE) designates as, and delegates the duties of, the USSOCOM Agency Advocate for Competition (AAC) to the Deputy Director of Procurement, and the Procuring Activity Advocate for Competition (PAAC) to each Division Chief of SOF AT&L-KF/KH/KP/KW/KI/KR/KS and Field Contracting Office Chiefs.

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APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW - January 2020

(unless further restricted by individual Contracting Officer warrant levels)

RCO-Joint, RCO-Pope, RCO- Pope 2 & RCO-Bragg

| ACTION | REVIEW | APPROVAL |
|--------|--------|----------|
|--------|--------|----------|

1. Acquisition Plan (See [paragraphs](#) & [paragraph](#))

Development:

| | | |
|--------------------------------|------------------------------|-----|
| Equal to or greater than \$10M | FCO*, Legal & SOF
AT&L-KB | SSA |
|--------------------------------|------------------------------|-----|

Production:

| | | |
|---|------------------------------|-----|
| Equal to or greater than \$25M in any one year or equal to or greater than \$50M for all years. | FCO*, Legal & SOF
AT&L-KB | SSA |
|---|------------------------------|-----|

NOTE: For Services refer to 5601-1.2l [paragraphs](#).

2. Type of Contract D&F

| | | |
|---|---------------------------|------------------|
| Single-Award Indefinite-Quantity Contracts greater than \$112M | Legal, SOF AT&L-KB, & HCA | SPE |
| Award Fee Contracts | Legal & SOF AT&L-KB | HCA |
| Incentive Fee Contracts | KO | FCO ¹ |
| Personal Services Contract | Legal & SOF AT&L-KB | HCA |
| Undefinitized Contractual Action (UCA) | Legal & SOF AT&L-KB | HCA |
| Contracts or orders with T&M or LH CLINs (greater than \$1M OR greater than 3 yrs) | Legal & SOF AT&L-KB | HCA |
| Contracts or orders with T&M or LH CLINs (less than or equal to \$1M AND less than or equal to 3 yrs) | KO | FCO ² |

3. Justification and Approvals (J&As), Brand Name Justifications, and Exception to Fair Opportunity

| | | |
|--|-------------------------------------|------|
| <u>Equal to or less than SAT</u> | KO | KO |
| <u>Greater than SAT but less than \$700,000</u> | Legal | PAAC |
| Equal to or greater than \$700,000 but less than \$13.5M | FCO, Legal, SOF AT&L-KB | AAC |
| Equal to or greater than \$13.5M, but less than \$93M | FCO, Legal, SOF AT&L-KB, & AAC | HCA |
| Equal to or greater than \$93M | FCO, Legal, SOF AT&L-KB, AAC, & HCA | SPE |

4. Requests for Proposals, Invitations for Bids, and Requests for Quotes

| | | |
|---------------------------|----|----|
| Equal to or less than SAT | KO | KO |
|---------------------------|----|----|

| | | |
|---|-------------|-------------|
| Greater than SAT but less than \$750,000 | KO | FCO |
| Equal to or greater than \$750,000 but less than \$1M | Legal | FCO |
| Equal to or greater than \$1M | Legal & FCO | SOF AT&L-KB |

5. Business Clearance

| | | |
|---|-------------|-------------|
| Equal to or less than SAT | KO | KO |
| Greater than SAT but less than \$750,000 | KO | FCO |
| Equal to or greater than \$750,000 but less than \$1M | Legal | FCO |
| Equal to or greater than \$1M | Legal & FCO | SOF AT&L-KB |

6. Contract Clearance

| | | |
|---|-------------|-------------|
| Equal to or less than SAT | KO | KO |
| Greater than SAT but less than \$750,000 | KO | FCO |
| Equal to or greater than \$750,000 but less than \$1M | Legal | FCO |
| Equal to or greater than \$1M | Legal & FCO | SOF AT&L-KB |

7. Source Selection or Evaluation Plans

| | | |
|----------------------------|---------------------|-----|
| Equal to or less than \$1M | FCO | SSA |
| Greater than \$1M | Legal & SOF AT&L-KB | SSA |

8. Ratifications

| | | |
|--------------------------------|------------|-----|
| Equal to or less than \$25,000 | KO & Legal | FCO |
|--------------------------------|------------|-----|

| | | |
|-----------------------|-----------------------------|-----|
| Greater than \$25,000 | Legal, FCO & SOF
AT&L-KB | HCA |
|-----------------------|-----------------------------|-----|

9. Terminations

| | | |
|-------------------------|-------------|-------------|
| Termination for Default | Legal & FCO | SOF AT&L-KB |
|-------------------------|-------------|-------------|

| | | |
|---|----|-----|
| Termination for Convenience (equal to or less than the SAT) | KO | FCO |
|---|----|-----|

| | | |
|--|-------------|-------------|
| Termination for Convenience (greater than the SAT) | Legal & FCO | SOF AT&L-KB |
|--|-------------|-------------|

¹ When the FCO is also the KO, approval authority is one level above the FCO.

² When the FCO is also the KO, the approval authority will be the HCA.

NOTE: The USSOCOM Acquisition Executive/Senior Procurement Executive (AE/SPE) designates as, and delegates the duties of, the USSOCOM Agency Advocate for Competition (AAC) to the Deputy Director of Procurement, and the Procuring Activity Advocate for Competition (PAAC) to each Division Chief of SOF AT&L-KF/KH/KP/KW/KI/KR/KS and Field Contracting Office Chiefs.

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APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW - June 2021

(unless further restricted by individual Contracting Officer warrant levels)

SP-1 & SP-2

| ACTION | REVIEW | APPROVAL |
|--------|--------|----------|
|--------|--------|----------|

1. Acquisition Plan (See [paragraphs](#) & [paragraph](#))

Development:

| | | |
|--------------------------------|------------------------------|-----|
| Equal to or greater than \$10M | FCO*, Legal & SOF
AT&L-KB | SSA |
|--------------------------------|------------------------------|-----|

Production:

| | | |
|---|---------------------------|-----|
| Equal to or greater than \$25M in any one year or equal to or greater than \$50M for all years. | FCO*, Legal & SOF AT&L-KB | SSA |
|---|---------------------------|-----|

NOTE: For Services refer to 5601-1.2l paragraphs

2. D&F

| | | |
|---|---------------------------|------------------|
| Single-Award Indefinite-Quantity Contracts greater than \$112M | Legal, SOF AT&L-KB, & HCA | SPE |
| Award Fee Contracts | Legal & SOF AT&L-KB | HCA |
| Incentive Fee Contracts | KO | FCO ¹ |
| Personal Services Contract | Legal & SOF AT&L-KB | HCA |
| Undefinitized Contractual Action (UCA) | Legal & SOF AT&L-KB | HCA |
| Contracts or orders with T&M or LH CLINs (greater than \$1M OR greater than 3 yrs) | Legal & SOF AT&L-KB | HCA |
| Contracts or orders with T&M or LH CLINs (less than or equal to \$1M AND less than or equal to 3 yrs) | KO | FCO ² |

3. Justification and Approvals (J&As), Brand Name Justifications, and Exception to Fair Opportunity

| | | |
|---|---------------------------|------|
| Equal to or less than SAT | KO | KO |
| Greater than SAT but less than \$1M | KO, Legal | PAAC |
| Equal to or greater than \$1M, but less than \$13.5M | Legal, SOF AT&L-KB | AAC |
| Equal to or greater than \$13.5M, but less than \$93M | Legal, SOF AT&L-KB, & AAC | HCA |
| Equal to or greater than \$93M | Legal, SOF AT&L-KB, & AAC | SPE |

4a. Requests for Proposals, Invitations for Bids, and Requests for Quotes

| | | |
|--|---|-------------|
| Equal to or less than \$750,000 (\$1M for task orders) | KO | KO |
| Greater than \$750,000 but less than \$1M (\$1M for task orders) | Legal | FCO |
| Equal to or greater than \$1M, but less than \$50M | Legal & FCO | SOF AT&L-KB |
| For competitive -
Equal to or greater than \$50M | FCO, Legal, SSAC-C (if applicable), & SSA | SOF AT&L-KB |
| For non-competitive -
Equal to or greater than \$50M | Legal & FCO | SOF AT&L-KB |

4b. Requests for Final Proposals Revisions (Competitive - if applicable)

| | | |
|--------------------------------|--|-----|
| Equal to or greater than \$50M | FCO, Legal, SSAC-C (if applicable) & SOF AT&L-KB | SSA |
|--------------------------------|--|-----|

5. Business Clearance

| | | |
|---|-------------------|-------------|
| Equal to or less than \$750,000 (\$1M for task or delivery orders) | KO | KO |
| Greater than \$750,000 but less than \$1M (\$1M for task or delivery orders) | KO | FCO |
| Equal to or greater than \$1M, but less than \$50M | Legal & FCO | SOF AT&L-KB |
| For competitive -
Equal to or greater than \$50M | Legal & FCO | SOF AT&L-KB |
| For non-competitive -
Equal to or greater than \$50M, but less than \$500M | Legal & FCO | SOF AT&L-KB |
| Equal to or greater than \$500M | Legal, FCO, & DPC | SOF AT&L-KB |

6. Contract Clearance

| | | |
|--|-------------------|-------------|
| Equal to or less than \$750,000 (\$1M for task or delivery orders) | KO | KO |
| Greater than \$750,000 but less than \$1M (\$1M for task or delivery orders) | KO | FCO |
| Equal to or greater than \$1M, but less than \$50M | Legal & FCO | SOF AT&L-KB |
| For competitive -
Equal to or greater than \$50M | FCO & Legal | SOF AT&L-KB |
| For non-competitive -
<u>Equal to or greater than \$50M, but less than \$500M</u> | FCO & Legal | SOF AT&L-KB |
| <u>Equal to or greater than \$500M</u> | FCO, Legal, & DPC | SOF AT&L-KB |

7. Source Selection or Evaluation Plans

| | | |
|----------------------------|--------------------|-----|
| Equal to or less than \$1M | KO | SSA |
| Greater than \$1M | SOF AT&L-KB, Legal | SSA |

8. Post Award Peer Reviews (Required for Services Only -See SOFARS 5601.170)

| | | |
|--------------------------------|-------------|-------------|
| Equal to or greater than \$50M | FCO & Legal | SOF AT&L-KB |
|--------------------------------|-------------|-------------|

9. Ratifications

| | | |
|--------------------------------|--------------------------|-----|
| Equal to or less than \$25,000 | KO & Legal | FCO |
| Greater than \$25,000 | Legal, FCO & SOF AT&L-KB | HCA |

10. Terminations

| | | |
|-------------------------|-------------|-------------|
| Termination for Default | Legal & FCO | SOF AT&L-KB |
|-------------------------|-------------|-------------|

| | | |
|---|-------------|-------------|
| Termination for Convenience (equal to or less than the SAT) | KO | FCO |
| Termination for Convenience (greater than the SAT) | Legal & FCO | SOF AT&L-KB |

¹ When the FCO is also the KO, approval authority is one level above the FCO.

² When the FCO is also the KO, the approval authority will be the HCA.

NOTE: The USSOCOM Acquisition Executive/Senior Procurement Executive (AE/SPE) designates as, and delegates the duties of, the USSOCOM Agency Advocate for Competition (AAC) to the Deputy Director of Procurement, and the Procuring Activity Advocate for Competition (PAAC) to each Division Chief of SOF AT&L-KF/KH/KP/KW/KI/KR/KS and Field Contracting Office Chiefs.

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APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW - January 2020

(unless further restricted by individual Contracting Officer warrant levels)

SOF AT&L-KX, TSOCS, Contingency Operations, 24 th SOW , and 905th

| ACTION | REVIEW | APPROVAL |
|--------|--------|----------|
|--------|--------|----------|

1. Acquisition Plan (See [paragraphs](#) & [paragraph](#))

Development

| | | |
|--------------------------------|---------------------------|-----|
| Equal to or greater than \$10M | FCO*, Legal & SOF AT&L-KX | SSA |
|--------------------------------|---------------------------|-----|

Production:

| | | |
|---|---------------------|-----|
| Equal to or greater than \$25M in any one year or Equal to or greater than \$50M for all years. | Legal & SOF AT&L-KX | SSA |
|---|---------------------|-----|

NOTE: For Services refer to 5601-1.2l [paragraphs](#)

2. Type of Contract (D&F):

| | | |
|---|---------------------------|------------------|
| Single-Award Indefinite-Quantity Contracts greater than \$112M | Legal, SOF AT&L-KX, & HCA | SPE |
| Award Fee Contracts | Legal & SOF AT&L-KX | HCA |
| Incentive Fee Contracts | KO | FCO ¹ |
| Personal Services Contract | Legal & SOF AT&L-KX | HCA |
| Undefinitized Contractual Action (UCA) | Legal & SOF AT&L-KX | HCA |
| Contracts or orders with T&M or LH CLINs (greater than \$1M OR greater than 3 yrs) | Legal & SOF AT&L-KX | HCA |
| Contracts or orders with T&M or LH CLINs (less than or equal to \$1M AND less than or equal to 3 yrs) | KO | FCO ² |

3. Justification and Approvals (J&As), Brand Name Justifications, and Exception to Fair Opportunity:

| | | |
|---|-------------------------------------|------------------------|
| Equal to or less than \$250,000 | KO | KO |
| Greater than \$250,000, but less than \$700,000 | Legal | <u>SOF AT&L-KX</u> |
| Equal to or greater than \$700,000, but less than \$13.5M | FCO, Legal, SOF AT&L-KX | <u>AAC</u> |
| Equal to or greater than \$13.5M, but less than \$93M | FCO, Legal, SOF AT&L-KX, & AAC | HCA |
| Equal to or greater than \$93M | FCO, Legal, SOF AT&L-KX, AAC, & HCA | SPE |

4. Requests for Proposals, Invitations for Bids, and Requests for Quotes

| | | |
|----------------------------|-------------|-------------|
| Equal to or less than SAT3 | KO | KO |
| Greater than SAT3 | Legal & FCO | SOF AT&L-KX |

5. Business Clearance

| | | |
|----------------------------|-------------|-------------|
| Equal to or less than SAT3 | KO | KO |
| Greater than SAT3 | Legal & FCO | SOF AT&L-KX |

6. Contract Clearance

| | | |
|----------------------------|-------------|-------------|
| Equal to or less than SAT3 | KO | KO |
| Greater than SAT3 | Legal & FCO | SOF AT&L-KX |

7. Source Selection or Evaluation Plans

| | | |
|----------------------------|---------------------|-----|
| Equal to or less than SAT3 | FCO | SSA |
| Greater than SAT3 | Legal & SOF AT&L-KX | SSA |

8. Ratifications

| | | |
|--------------------------------|--------------------------|-----|
| Equal to or less than \$10,000 | KO & Legal | FCO |
| Greater than \$10,000 | Legal, FCO & SOF AT&L-KX | HCA |

9. Terminations

| | | |
|---|-------------|-------------|
| Termination for Default | Legal & FCO | SOF AT&L-KX |
| Termination for Convenience (equal to or less than \$250,000) | KO & Legal | FCO |
| Termination for Convenience (greater than \$250,000) | Legal & FCO | SOF AT&L-KX |

¹ When the FCO is also the KO, approval authority is one level above the FCO.

² When the FCO is also the KO, the approval authority will be the HCA.

³ SAT for acquisitions in support of contingency operations applies to any contract to be awarded and performed, or purchase to be made, outside the United States. Otherwise, the standard SAT applies.

NOTE: The USSOCOM Acquisition Executive/Senior Procurement Executive (AE/SPE) designates as, and delegates the duties of, the USSOCOM Agency Advocate for Competition (AAC) to the Deputy Director of Procurement, and the Procuring Activity Advocate for Competition (PAAC) to each Division Chief of SOF AT&L-KF/KH/KP/KW/KI/KR/KS and Field Contracting Office Chiefs.

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ACQUISITION STRATEGY FOR SERVICE - September 2018 All Offices

1) IAW DoDI 5000.74 the decision authority or designee listed below will be responsible for the review and approval of the acquisition strategy. The Senior Service Manager (SSM) is PEO-SV. PEO or DPEO for service acquisitions assigned to a respective PEO, may approve acquisition strategies.

2) For TAKO, the approval authority is AMCOM Director, Special Programs (Aviation). For acquisitions exceeding \$85.5M that are not performance-based, see SOFARS 5637.170-2.

3) For those acquisitions that require a formal written acquisition plan as delineated in DFARS 207.103, a separate acquisition plan is not required if the acquisition strategy includes the contents of written acquisition plans (FAR 7.105 and PGI 207.105), as applicable.

S-CAT

REVIEW

APPROVAL

S-CAT V

Acquisitions of services with an estimated total value of the SAT or more, but less than \$10M

KO

The SSM has delegated approval to the component level. See SOFARS 5637.102.

S-CAT IV

Acquisitions of services with an estimated total value of \$10M or more, but less than \$100M

HCD/FCO, Legal, & SOF
AT&L-KM/KX/KB

SSM

S-CAT III

Acquisitions of services with an estimated total value of \$100M or more, but less than \$250M

HCD/FCO, Legal, & SOF
AT&L-KM/KX/KB

SSM

S-CAT II

Acquisitions of services with an estimated total value of \$250M or more, but less than \$1B

HCD/FCO, Legal, SOF AT&L-
KM/KX/KB, & SSM

Acquisition Executive

Special Interest

As designated by the USD(AT&L)

HCD/FCO, Legal, SOF AT&L-
KM/KX/KB, & SSM

USD(AT&L)

S-CAT I

Any acquisition of services with an estimated total value of \$1B or more than \$300M in any one year

HCD/FCO, Legal, SOF AT&L-
KM/KX/KB, & SSM

USD(AT&L)